

MP

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In response to patent application of:) Before the Examiner
)
Markus Noller et al.)
) Group Art Unit 1722
Serial No. 10/055,049 /)
)
Filed: January 23, 2002)
)
DEVICE FOR EXTRUDING PLASTIC)
COMPOUNDS) March 12, 2002

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF NONPROVISIONAL APPLICATION**

BOX MISSING PARTS
Commissioner For Patents
Washington, D.C. 20231

Sir:

On January 23, 2002, the above-identified nonprovisional patent application was filed by Express Mail (Receipt No. EL916999641US) without a declaration under 37 CFR 1.63. In response to the Notice To File Missing Parts of Nonprovisional Application Under 37 CFR 1.53(b) mailed February 27, 2002, enclosed herewith is a copy of a Transmittal of Declaration of Inventors Markus Noller, Frank Altvater and Gerhard Stadler filed by Express Mail (Receipt No.

I hereby certify this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner for Patents, Washington, D.C., 20231 on March 12, 2002.

Clifford W. Browning
Name of Registered Representative

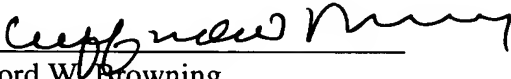
Clifford W. Browning
Signature

March 12, 2002
Date

EL916999862US) on February 15, 2002, together with a copy of the return post card acknowledging receipt by the U.S. Patent and Trademark Office. No Extensions of Time are believed to be necessary, but if any are deemed to be due, please charge the fees therefore to Deposit Account 23-3030.

Also enclosed herewith is a copy of the official Filing Receipt with requested corrections noted thereon.

Respectfully submitted,

By 
Clifford W. Browning
Reg. No. 32,201
Woodard, Emhardt, Naughton,
Moriarty & McNett
Bank One Center/Tower
111 Monument Circle, Suite 3700
Indianapolis, Indiana 46204-5137
(317) 634-3456

#161564

Before the Examiner

Group Art Unit

February 15, 2002

February 15, 2002

February 15, 2002

Assistant Commissioner for Patents
Washington, DC 20231

On January 23, 2002, the above-identified patent application was filed by Express Mail (Receipt No. EL916999641US) without a Declaration. Applicants transmit herewith a fully executed Declaration, along with the large entity surcharge therefore of \$130.00 (37 CFR 1.492(e)).

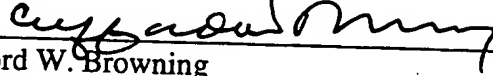
Date of Deposit February 15, 2002

Sheep & Huttering

Signature of person mailing paper or fee

No additional fees are believed to be due, but if any additional fees are deemed required, please charge such fees to Deposit Account No. 23-3030.

Respectfully submitted

By: 
Clifford W. Browning
Reg. No. 32,201
Woodard, Emhardt, Naughton,
Moriarty & McNett
Bank One Center/Tower
111 Monument Circle, Suite 3700
Indianapolis, Indiana 46204-5137
(317) 634-3456

#158497



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

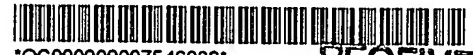
APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/055,049	01/23/2002	1722	1150	16787-2	1	8	1

Clifford W. Browning
Woodard, Emhardt, Naughton, Moriarty & McNett
Bank One Center/Tower
111 Monument Circle, Ste 3700
Indianapolis, IN 46204-5137



CONFIRMATION NO. 5241

FILING RECEIPT



OC000000007546083

RECEIVED

MAR 4 2002

Date Mailed: 02/27/2002
Moriarty & McNett

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Leingarten, Germany
Markus Noller, ~~Residence Not Provided~~; *Frank Altvater, Heilbronn, Germany*
Gerhard Städler, Nordheim, Germany

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF PCT/EP00/07550 08/03/2000

Foreign Applications

GERMANY 19936 827.9 08/05/1999

If Required, Foreign Filing License Granted 02/27/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Device for extruding plastic compounds

Preliminary Class

425

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



Matter No./Case No. 16787-2 Initials/Date: CWB/slh 2-15-2002

EL916999862US

☒ Patent ☐ Trademark ☐ Copyright

Serial No. 10/055,049

Applicant Noller et al.

☒ Trans of Declaration
☐
☐
☐
☐

☐ Application # _____ of pages

☒ Check for \$ 130

☐ # _____ sheets of drawings

☒ Declaration & Power of Attorney

☐ Assignment & Recordation cover sheet

☐ Information Disclosure Statement

☐ Transmittal Form /PTO Form _____

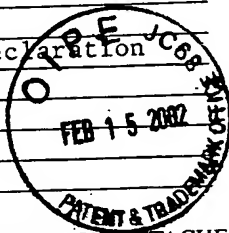
☐ Fee Transmittal /PTO Form _____

☐ Amendment/ Response to Office Action

☐ Request for Extension of Time

☐ Statement of Use

☐ Specimen(s) # _____



RECEIPT OF THE ATTACHED IS HEREBY ACKNOWLEDGED



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/055,049	01/23/2002	Markus Noller	16787-2

CONFIRMATION NO. 5241

FORMALITIES LETTER



OC000000007546084

Clifford W. Browning
Woodard, Emhardt, Naughton, Moriarty & McNett
Bank One Center/Tower
111 Monument Circle, Ste 3700
Indianapolis, IN 46204-5137



Date Mailed: 02/27/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

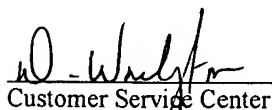
Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE